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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/713,739	11/13/2003	Indran Naick	AUS920030777US1(4016)	2701
IBM CORPORATION (JSS) C/O SCHUBERT OSTERRIEDER & NICKELSON PLLC			EXAMINER	
			BIAGINI, CHRISTOPHER D	
	6013 CANNON MOUNTAIN DRIVE, S14 AUSTIN, TX 78749		ART UNIT	PAPER NUMBER
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Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

	Application No.	Applicant(s)
	10/713,739	NAICK ET AL.
Office Action Summary	Examiner	Art Unit
	Christopher Biagini	2442
The MAILING DATE of this communication app Period for Reply	pears on the cover sheet with the c	correspondence address
A SHORTENED STATUTORY PERIOD FOR REPL WHICHEVER IS LONGER, FROM THE MAILING D  - Extensions of time may be available under the provisions of 37 CFR 1.1 after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period in Failure to reply within the set or extended period for reply will, by statute Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).	ATE OF THIS COMMUNICATION 36(a). In no event, however, may a reply be tin will apply and will expire SIX (6) MONTHS from e, cause the application to become ABANDONE	N. nely filed the mailing date of this communication. D (35 U.S.C. § 133).
Status		
1) ☐ Responsive to communication(s) filed on <u>09 C</u> 2a) ☐ This action is <b>FINAL</b> . 2b) ☐ This  3) ☐ Since this application is in condition for alloware closed in accordance with the practice under E	s action is non-final. nce except for formal matters, pro	
Disposition of Claims		
4) ☐ Claim(s) 1,3,4,8-10,12,13,15-18,20,23 and 33-4a) Of the above claim(s) is/are withdra 5) ☐ Claim(s) is/are allowed.  6) ☐ Claim(s) 1,3,4,8-10,12,13,15-18,20,23 and 33-7) ☐ Claim(s) is/are objected to.  8) ☐ Claim(s) are subject to restriction and/or	wn from consideration.  -35 is/are rejected.	on.
Application Papers		
9) The specification is objected to by the Examine 10) The drawing(s) filed on is/are: a) accomposite and applicant may not request that any objection to the Replacement drawing sheet(s) including the correct 11) The oath or declaration is objected to by the Example 11.	cepted or b) objected to by the liderawing(s) be held in abeyance. See tion is required if the drawing(s) is objected.	e 37 CFR 1.85(a). jected to. See 37 CFR 1.121(d).
Priority under 35 U.S.C. § 119		
12) ☐ Acknowledgment is made of a claim for foreign a) ☐ All b) ☐ Some * c) ☐ None of:  1. ☐ Certified copies of the priority document 2. ☐ Certified copies of the priority document 3. ☐ Copies of the certified copies of the priority application from the International Burea * See the attached detailed Office action for a list	ts have been received. ts have been received in Applicati rity documents have been receive u (PCT Rule 17.2(a)).	on No ed in this National Stage
Attachment(s)  1) Notice of References Cited (PTO-892)  2) Notice of Draftsperson's Patent Drawing Review (PTO-948)  3) Information Disclosure Statement(s) (PTO/SB/08)  Paper No(s)/Mail Date	4)  Interview Summary Paper No(s)/Mail Da 5)  Notice of Informal F 6)  Other:	ate

#### **DETAILED ACTION**

## Response to Arguments

Applicant's arguments with respect to the rejection(s) under 35 USC 101 have been fully considered and are persuasive. The rejection has been withdrawn.

Applicant's arguments with respect to the rejection(s) under 35 USC 103(a) have been considered but are moot in view of the new ground(s) of rejection.

# Specification

The specification is objected to as failing to provide proper antecedent basis for the claimed subject matter. See 37 CFR 1.75(d)(1) and MPEP § 608.01(o). Correction of the following is required: the specification must provide antecedent basis for generating, by the server, emails in an order of generation based upon the groups of recipients, as claimed in claims 1, 10, and 18, and further limited in claims 33-35.

### Claim Rejections - 35 USC § 112

Claims 1, 3, 4, 8-10, 12, 13, 15-18, 20, 23 and 33-35 rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the written description requirement. The claim(s) contains subject matter which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventor(s), at the time the application was filed, had possession of the claimed invention.

Claims 1, 10, and 18 recite the limitation "generating, by the email server, an email...wherein generating the email and the one or more additional email comprises generating the email...in an order of generation based upon the groups of recipients."

Paragraph [35] of the specification discusses ordering, but only in conjunction with the user. The claims require that the server performs the ordering, but there is no explanation in the specification for how the user interacts with the server to compose messages in order.

Claims 33-35 further recite: "wherein generating the email and the one or more additional emails comprises generating the email comprising the email message with the instance and the one or more additional emails in the order, wherein the order comprises composing a general message for all recipients, thereafter composing a detailed message for a group of the recipients, and thereafter composing specific messages for individual recipients that are part of the group of the recipients."

Again, these features are only discussed with respect to user interactions. The claims require that the server performs the ordering, but there is no explanation for how the server and the user interact to compose the messages in order.

## Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

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Claims 1, 3, 4, 9, 10, 12, 15, 17, 18, 20, and 33-35 are rejected under 35 U.S.C. 103(a) as being unpatentable over Beyda (US Patent No. 6,636,965) in view of Gilbert (6,529,942), and further in view of Mansour (US Pub. No. 2002/0111995).

Regarding claim 1, Beyda shows a method for employing private content (recipient-specific comments: see col. 3, lines 3-7) in an email message for recipients, the method comprising:

- receiving, at an email server (message processor 20), the email message addressed to the recipients from an email client (see col. 2, lines 40-51 and col. 3, lines 28-32);
- identifying, by the email server, in response to receipt of the email message from the email client, an instance of the private content in the email message (see col. 3, lines 33-37);
- determining, by the email server, an association between the instance and at least one recipient (step 72: see col. 3, lines 46-53), wherein the email server does not determine associations between the instance and one or more remaining recipients of the recipients (note that Beyda provides for at least one recipient not being associated with the instance: see col. 3, lines 53-55);
- generating, by the email server, an email for the recipient based upon determining the association, the email comprising the email message with the instance (steps 76 and 78: see col. 3, lines 55-59);

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• generating, by the email server, one or more additional emails for the one or more remaining recipients, wherein generating the one or more emails comprises redacting the email message to exclude the instance (step 74, comprising transmitting only the common portion: see col. 3, lines 53-55), wherein a redacted email message is created (comprising an email without comments), and generating the one or more additional emails with the redacted email message to display the email message with the instance when routed to the recipient (note that the message would display the instance since it is included in the message: see step 78) and to exclude the instance when routed to the one or more remaining recipients (see step 74); and

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• transmitting, by the email server, the emails to the recipients (steps 74 and 78: see col. 3, lines 53-59).

## Beyda further shows:

- identifying groups of recipients to receive the same or substantially similar email messages (comprising a group which receives a common message, and a group which receives a common message and comments: see col. 3, lines 8-18); and
- generating the email comprising the email message with the instance and the one or more additional emails in an order of generation based upon the groups of recipients (comprising first generating a common message which is sent to the group of all recipients and then generating comments for a group comprising a subset of all recipients: see col. 3, lines 8-18).

Beyda does not explicitly show:

- that the identifying and generating steps are performed at the server; and
- that associations are determined in response to identifying instances.

Mansour shows performing steps at a server based on input from a client (comprising application functionality: see [0008]). It would have been obvious to one of ordinary skill in the art at the time of the invention to modify the system of Beyda with the performing of steps at a server as taught by Mansour in order to allow a user to interact with the system using an inexpensive, low-end device (see Mansour, [0008]).

Gilbert shows an email server determining associations between instances of content and recipients in response to identifying the instances (see col. 8, lines 12-16).

It would have been obvious to one of ordinary skill in the art at the time of the invention to modify the system of Beyda with the responsive determination of Gilbert in order to avoid wasting time determining associations when there are no instances of a particular kind of content in an email message.

Regarding claim 3, the combination further shows wherein identifying the instances comprises identifying a mark adjacent to the instance, wherein the mark is indicative of a bounding edge of the instance (see Gilbert, col. 4, lines 16-21 and col. 8, lines 1-16).

Regarding claim 4, the combination further shows wherein identifying the instance comprises identifying the instance as a highlighted portion of text in response to a command from a user (see Gilbert, col. 6, lines 38-47).

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Regarding claim 9, the combination further shows wherein redacting the email message to exclude the instance comprises deleting the instance from the email message (note that before redacting, the comments are in the message of Beyda, and after redacting, the message contains only the common content: see col. 3, lines 3-7 and 53-55).

Regarding claim 10, Beyda shows an apparatus (mail processor 20) for employing private content in an email message for recipients, the apparatus being part of an email server (see col. 2, lines 40-51) and comprising a computer processor and memory (implicitly provided by the disclosure of an email server), the memory comprising computer instructions comprising:

- a content identifier to receive the email message from an email client (see col. 2, lines 40-51 and col. 3, lines 28-32) and, in response, to identify one or more instances of private content in the email message (see col. 3, lines 33-37);
- a content associator to determine an association between at least one instance and a first set of one or more of the recipients (step 72: see col. 3, lines 46-53);
- an email generator to generate a first email for each recipient in the first set comprising at least one instance based upon an association with the at least one instance (steps 76 and 78: see col. 3, lines 55-59) and to redact the at least one instance from the email message to generate emails for additional sets of recipients (step 74, comprising transmitting only the common portion: see col. 3, lines 53-55), if any, to display the email message with the at least one instance when routed to the first set of one or more recipients (note that the message would display the instance

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since it is included in the message: see step 78) and to exclude the at least one instance when routed to other recipients (see step 74); and

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• a messaging gateway coupled with the email generator to transmit the emails to the recipients (steps 74 and 78: see col. 3, lines 53-59).

# Beyda further shows:

- a recipient selector to identify groups of recipients to receive the same or substantially similar email messages (comprising a group which receives a common message, and a group which receives a common message and comments: see col. 3, lines 8-18); and
- the email generator to generate emails in an order of generation based upon the groups of recipients (comprising first generating a common message which is sent to the group of all recipients and then generating comments for a group comprising a subset of all recipients: see col. 3, lines 8-18).

### Beyda does not explicitly show:

- that the identifying and generating steps are performed at the server; and
- associating remaining instances, if any, with additional sets of recipients.

Mansour shows performing steps at a server based on input from a client (comprising application functionality: see [0008]). It would have been obvious to one of ordinary skill in the art at the time of the invention to modify the system of Beyda with the performing of steps at a server as taught by Mansour in order to allow a user to interact with the system using an inexpensive, low-end device (see Mansour, [0008]).

Gilbert shows associating multiple instances of content in an email with multiple sets of recipients (see Fig. 5 and col. 8, lines 8-16).

It would have been obvious to one of ordinary skill in the art at the time of the invention to modify the system of Beyda with the multiple instances and additional sets of recipients taught by Gilbert in order to provide increased flexibility when composing messages to diverse groups.

Claim 12 corresponds to claim 3 and is rejected for the same reasons as given above.

Regarding claim 15, the combination further shows wherein the content associator is configured to generate an index associating the first set of the recipients with at least one location of one or more instances in the email message (see Gilbert, col. 5, lines 6-25).

Claim 17 corresponds to claim 9 and is rejected for the same reasons as given above.

Claims 18 and 20 correspond to claims 1 and 3 and are rejected for the same reasons as given above.

Regarding claim 33, the combination further shows wherein generating the email and the one or more additional emails comprises generating the email comprising the email message with the instance and the one or more additional emails in the order, wherein the order comprises composing a general message for all recipients (comprising a common message: see Beyda, col. 3, lines 8-10), thereafter composing a detailed message for a group of the recipients (comprising

the common message plus comments, which is sent to a group comprising a subset of all recipients: see Beyda, col. 3, lines 14-18), and thereafter composing specific messages for individual recipients that are part of the group of the recipients (see Beyda, col. 3, line 44 to col. 4, line 2, especially col. 4, line 66 to col. 4, line 2).

The combination does not explicitly show wherein all the steps are performed at the server.

Mansour shows performing steps at a server based on input from a client (comprising application functionality: see [0008]). It would have been obvious to one of ordinary skill in the art at the time of the invention to modify the system of Beyda with the performing of steps at a server as taught by Mansour in order to allow a user to interact with the system using an inexpensive, low-end device (see Mansour, [0008]).

Claims 34 and 35 correspond to claim 33 and are rejected for the same reasons as given above.

Claims 8, 16, and 23 are rejected under 35 U.S.C. 103(a) as being unpatentable over Beyda (US Patent No. 6,636,965) in view of Gilbert (6,529,942), and further in view of Mansour (US Pub. No. 2002/0111995) and Rafal (US Pub. No. 2002/0002586).

The combination shows the limitations of claims 1, 10, and 18 as applied above, and further shows displaying the instance in response to routing the email to the recipient (necessarily the case, as the instance is included in the email: see Beyda, col. 1, lines 59-67).

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The combination does not show using hypertext markup language code to display the instance.

Rafal shows using hypertext markup language code to display email content (see [0037]). It would have been obvious to one of ordinary skill in the art at the time of the invention to further modify the system of Beyda with the HTML code taught by Rafal in order to provide for text formatting in the email.

Claim 13 is rejected under 35 U.S.C. 103(a) as being unpatentable over Beyda (US Patent No. 6,636,965) in view of Gilbert (6,529,942), and further in view of Mansour (US Pub. No. 2002/0111995) and Altavilla (US Pub. No. 2002/0194280).

The combination shows the limitations of claim 10 as applied above, but does not show wherein the content identifier is configured to parse a table, wherein the table identifies a location of the instance in the email message.

Altavilla shows parsing a table, wherein the table identifies a location of an instance of content in an email message (the table comprising a set of tags attached to recipients: see Fig. 3 and [0025]).

It would have been obvious to one of ordinary skill in the art at the time of the invention to further modify the system of Beyda to use a table as taught by Altavilla in order to associate recipients with appropriate instances of content without inserting markup into the email body.

#### Conclusion

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Any inquiry concerning this communication or earlier communications from the examiner should be directed to Christopher Biagini whose telephone number is (571) 272-9743. The examiner can normally be reached on weekdays from 8:30 AM to 5:00 PM..

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Andrew Caldwell can be reached on (571) 272-3868. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Andrew Caldwell/ Supervisory Patent Examiner, Art Unit 2442

Christopher Biagini (571) 272-9743